

# Language Models as the New Search Engines

### Tianyu Gao

- Princeton Language and Intelligence (PLI)
  - **Princeton University**

# How do we conduct information-seeking activities?



















Red · 2012



influenced the music industry, popular culture, and politics, while her life is a subject of widespread media coverage. Swift began professional songwriting at 14. Wikipedia





### Google

Where can I find gluten-free sushi (gluten free soy sauce provided) in Princ ~~ imes

#### Places :



#### Sponsored

#### Whole Foods Market : 4.4 ★ ★ ★ ★ ★ (1.4K) · \$\$\$ · Grocery store 3495 U.S. 1 South

Open · Closes 9 PM Delivery

#### MTea Sushi & Dessert

4.8 ★ ★ ★ ★ ★ (213) · Sushi

86 Nassau St

I... thought of little details like providing small containers of soy sauce.

#### Masa Sushi-Princeton

4.2 ★ ★ ★ ★ ★ (1.1K) · \$20–30 · Sushi

415 Nassau Park Blvd

**2** "No gluten free soy sauce and they forgot our Godzilla roll but other ..."

**:** 

Q







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### Do not directly provide answers Need to verify results one by one









Q

In SLURM how can I restrict how many GPUs a user's jobs can use at most in a specific job time range?

× [: Q



Google

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Johns Hopkins University

https://jhpce.jhu.edu > knowledge-base > setting-a-time-li...

### Setting a time limit for your SLURM job on JHPCE

Feb 13, 2024 — The default time limit for job execution on the shared and gpu partitions is set to 1 day, ensuring that shorter tasks do not face unnecessary ... Missing: restrict most



Unix & Linux Stack Exchange

https://unix.stackexchange.com > questions > running-...

#### Running multiple SLURM jobs on the same GPU

Nov 25, 2022 — It will run jobs in parallel if you have multiple GPUs that can run the jobs, otherwise it runs them in series as a GPU becomes available. I ... 1 answer · Top answer: You should use "Sharding" GRES (gres:shard) instead of gres:GPU, a... Missing: range? | Show results with: range?



Slurm Documentation https://slurm.schedmd.com > faq

#### Frequently Asked Questions - Slurm Workload Manager

Feb 12, 2024 — If jobs do not specify time limits, then all jobs will receive the same time limit (that associated with the partition), and the ability to ...

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### Too many irrelevant results



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### Too many irrelevant results Word-matching does not return relevant webpages





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#### You

In SLURM how can I restrict how many GPUs a user's jobs can use at most in a specific job time range?

#### ChatGPT

To restrict the number of GPUs a user's jobs can use at most within a specific job time range in a SLURM environment, you will need to utilize SLURM's Quality of Service (QoS) and account management features. This approach allows you to define limits on resource usage that apply to users, accounts, or even specific jobs based on various criteria, including job time ranges. Here's a step-by-step guide to setting up such restrictions:

#### **1.** Define or Update a Quality of Service (QoS)

First, you need to define a Quality of Service (QoS) that specifies the GPU usage limits. If a suitable QoS already exists, you may update it instead.

To create a new QoS:

bash					
sudo	sacctmgr	add	qos	name=gpu_limit	maxtresperuser=gpu=X





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### User-friendly presentations





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### User-friendly presentations **Relevant answers**





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User-friendly presentations **Relevant answers Boost productivity** 







You

Where can I find gluten-free sushi (gluten free soy sauce provided) in Princeton, NJ?





You

Where can I find gluten-free sushi (gluten free soy sauce provided) in Princeton, NJ?



#### ChatGPT

One option to find gluten-free sushi in Princeton, NJ, is to try Ajihei Sushi, located at 11 Chambers St, Princeton, NJ 08542. They offer a variety of sushi options and often accommodate dietary restrictions. It's recommended to call them directly to inquire about their gluten-free options and if they provide gluten-free soy sauce.

Another option is Sakura Japanese Sushi & Steak House, located at 4437 NJ-27, Princeton, NJ 08540. They typically offer a wide range of sushi options and may be able to accommodate gluten-free requests. Again, it's best to call ahead and ask about their gluten-free options and soy sauce availability.





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"hallucination"







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"hallucination" No references for users to verify







How can we make LMs better information-seeking tools?



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**1.** The ability to find and utilize reference materials



### How can we make LMs better information-seeking tools?

### **1.** The ability to find and utilize reference materials

Document-augmented



#### Q: In SLURM how can i restrict ...





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Retrieval-augmented









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2. The ability to provide "citations"





### How can we make LMs better information-seeking tools?

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Retrieval-augmented





### 2. The ability to provide "citations"

Where can I find gluten-free sushi (gluten free soy sauce provided) in Princeton, NJ?

#### 음 Sources



#### 做 Answer

You can find gluten-free sushi with gluten-free soy sauce provided in Princeton, NJ at Elite Five Sushi & Grill. This restaurant offers gluten-free options, including sushi, and is known for taking celiac allergies seriously, providing GF soy sauce, and having knowledgeable staff about gluten-free entrees  $^{3}$   $^{1}$ .





Challenge #1: the need for better retrieval/search



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Traditional word-matching methods do not work well for "semantic search"



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Query
Pre-trained

language models are great. 

BERT obtains new SoTA results on 11 NLP tasks. (Devlin et al., 2019) 





### Challenge #1: the need for better retrieval/search

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· <b>n</b> • 1	Query
Pre-trained	Pre-trained

Dense retrieval becomes more and more popular

language models are great.

BERT obtains new SoTA results on 11 NLP tasks. (Devlin et al., 2019)





### Challenge #1: the need for better retrieval/search

Traditional word-matching methods do not work well for "semantic search"

Dense retrieval becomes more and more popular

• Represent sentences/paragraphs/documents as *vectors* and perform nearest-neighbor search




Challenge #1: the need for better retrieval/search



Challenge #1: the need for better retrieval/search

SimCSE: Simple Contrastive Learning of Sentence Embeddings **EMNLP 2021** 





### Challenge #1: the need for better retrieval/search

#### SimCSE: Simple Contrastive Learning of Sentence Embeddings **EMNLP 2021**

• Propose a simple *contrastive learning* framework for sentence embeddings







## Challenge #1: the need for better retrieval/search

#### SimCSE: Simple Contrastive Learning of Sentence Embeddings **EMNLP 2021**

- Propose a simple *contrastive learning* framework for sentence embeddings

OpenAl, 2022. Text and Code Embeddings by Contrastive Pre-Training. Su et al., 2023. One Embedder, Any Task: Instruction-Finetuned Text Embeddings. Muennighoff et al., 2024. Generative Representational Instruction Tuning.



• A technique used by SoTA embedding tools (OpenAI, 2022; Su et al., 2023; Muennighoff et al., 2024)





## **Challenge #1: the need for better retrieval/search**

#### SimCSE: Simple Contrastive Learning of Sentence Embeddings **EMNLP 2021**

- Propose a simple *contrastive learning* framework for sentence embeddings
- Downloaded by >18M times (from HuggingFace)

OpenAl, 2022. Text and Code Embeddings by Contrastive Pre-Training. Su et al., 2023. One Embedder, Any Task: Instruction-Finetuned Text Embeddings. Muennighoff et al., 2024. Generative Representational Instruction Tuning.



• A technique used by SoTA embedding tools (OpenAI, 2022; Su et al., 2023; Muennighoff et al., 2024)





Challenge #2: how to evaluate?

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• There is no good evaluation for long text generation

### Challenge #2: how to evaluate?

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  There is no automatic avaluation for generations with citati
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## Challenge #2: how to evaluate?

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Human evaluation?

## Challenge #2: how to evaluate?

- There is no good evaluation for long text generation
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Human evaluation? Slow, costly, unreliable

Challenge #2: how to evaluate?



#### Challenge #2: how to evaluate?

**Enabling Large Language Models to Generate Text with Citations** EMNLP 2023





#### **Challenge #2: how to evaluate?**

**Enabling Large Language Models to Generate Text with Citations EMNLP 2023** 

**ALCE:** automatic LLM citation evaluation





Question When did the US break away from England?



- Model output The US took the first step towards gaining independence from GB when it declared independence on July 2, 1776 (although the event is now commemorated on July 4, 1776, the date when the Declaration of Independence was officially adopted by Congress) [1][2]. The Treaty of Paris was later signed on September 3, 1783, formally separating the United States from the British Empire [3].



[1] Decolonization of the Americas: ... independence from GB on July 2, 1776 ...

[2] Decolonization: ... It was officially adopted by Congress on July 4, 1776 ...

[3] American Revolution: ... The Treaty of Paris was signed September 3, 1783 ...







#### **Challenge #2: how to evaluate?**

**Enabling Large Language Models to Generate Text with Citations EMNLP 2023** 

**ALCE:** automatic LLM citation evaluation

Given a **question** 





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**Enabling Large Language Models to Generate Text with Citations EMNLP 2023** 

**ALCE:** automatic LLM citation evaluation

- Given a **question**
- Given a **corpus**





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#### Challenge #2: how to evaluate?

**Enabling Large Language Models to Generate Text with Citations EMNLP 2023** 

**ALCE:** automatic LLM citation evaluation

- Given a **question**
- Given a **corpus**
- Requires end-to-end systems to
  - **Retrieve** passages from the corpus





Question When did the US break away from England?



-Model output The US took the first step towards gaining independence from GB when it declared independence on July 2, 1776 (although the event is now commemorated on July 4, 1776, the date when the Declaration of Independence was officially adopted by Congress) [1][2]. The Treaty of Paris was later signed on September 3, 1783, formally separating the United States from the British Empire [3].



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### **Challenge #2: how to evaluate?**

**Enabling Large Language Models to Generate Text with Citations EMNLP 2023** 

**ALCE:** automatic LLM citation evaluation

- Given a question
- Given a **corpus**
- Requires end-to-end systems to
  - **Retrieve** passages from the corpus
  - **Generate** long-text responses





Question When did the US break away from England?



-Model output The US took the first step towards gaining independence from GB when it declared independence on July 2, 1776 (although the event is now commemorated on July 4, 1776, the date when the Declaration of Independence was officially adopted by Congress) [1][2]. The Treaty of Paris was later signed on September 3, 1783, formally separating the United States from the British Empire [3].



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### **Challenge #2: how to evaluate?**

**Enabling Large Language Models to Generate Text with Citations EMNLP 2023** 

**ALCE:** automatic LLM citation evaluation

- Given a question
- Given a **corpus**
- Requires end-to-end systems to
  - **Retrieve** passages from the corpus
  - **Generate** long-text responses
  - **Cite** supporting passages





When did the US break away from England?



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Challenge #2: how to evaluate?



#### Challenge #2: how to evaluate?

**ALCE** automatically evaluates a long-text answer's



### Challenge #2: how to evaluate?

**ALCE** automatically evaluates a long-text answer's

• Fluency



### Challenge #2: how to evaluate?

**ALCE** automatically evaluates a long-text answer's

- Fluency
- Correctness



## Challenge #2: how to evaluate?

**ALCE** automatically evaluates a long-text answer's

- Fluency
- Correctness
- Citation quality



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**ALCE** automatically evaluates a long-text answer's

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An example for correctness evaluation.



## Challenge #2: how to evaluate?

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- Fluency
- Correctness
- Citation quality



An example for correctness evaluation.



An example for citation evaluation





## Challenge #2: how to evaluate?

**ALCE** automatically evaluates a long-text answer's

- Fluency
- Correctness
- Citation quality

We show that even **GPT-4** lacks complete citation support **50%** of the times



An example for correctness evaluation.



An example for citation evaluation









Challenge #3: how to fit the context



#### Challenge #3: how to fit the context



#### The GPT-3 paper (~**75K** tokens)



#### Challenge #3: how to fit the context





The GPT-3 paper (~**75K** tokens)

#### The Dune series (~**1M** words)



### Challenge #3: how to fit the context





The GPT-3 paper (~**75K** tokens)

#### The Dune series (~**1M** words)

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🖿 .circleci	Add UDOP (#22940)	2 weeks ago
<b></b> .github	[CI] Quantization workflow (#29	3 weeks ago
bocker	[Quantization] Quanto quantizer	2 days ago
docs	[docs] Spanish translation of att	2 days ago
examples	Rename glue to nyu-mll/glue (	2 days ago
model_cards	Update URL for Hub PR docs (#	2 years ago
notebooks	[Docs] Add missing language op	last month
scripts	Update all references to canonic	last month
src/transformers	Generate: replace breaks by a lo	2 davs ado

#### The Transformers package (~**10M** tokens)



### Challenge #3: how to fit the context





The GPT-3 paper (~**75K** tokens)

#### The Dune series (~**1M** words)

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<b>e</b> transformers Public	• Watch 1.1k	우 양 Fork 24.2k
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.circleci	Add UDOP (#22940)	2 weeks ago
📄 .github	[CI] Quantization workflow (#29	3 weeks ago
adocker	[Quantization] Quanto quantizer	2 days ago
docs	[docs] Spanish translation of att	2 days ago
examples	Rename glue to nyu-mll/glue (	2 days ago
model_cards	Update URL for Hub PR docs (#	2 years ago
notebooks	[Docs] Add missing language op	last month
scripts	Update all references to canonic	last month
src/transformers	Generate: replace breaks bv a lo	2 davs ado



# The Transformers package (~**10M** tokens)

100 web pages (**~100K** tokens)



### Challenge #3: how to fit the context





#### The GPT-3 paper (~**75K** tokens)

#### The Dune series (~**1M** words)

Meta LLaMA-2:4K

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.circleci	Add UDOP (#22940)	2 weeks ago
📄 .github	[CI] Quantization workflow (#29	3 weeks ago
adocker	[Quantization] Quanto quantizer	2 days ago
docs	[docs] Spanish translation of att	2 days ago
examples	Rename glue to nyu-mll/glue (	2 days ago
model_cards	Update URL for Hub PR docs (#	2 years ago
notebooks	[Docs] Add missing language op	last month
scripts	Update all references to canonic	last month
src/transformers	Generate: replace breaks bv a lo	2 davs ado



#### The Transformers package (~**10M** tokens)

100 web pages (**~100K** tokens)



### Challenge #3: how to fit the context





The GPT-3 paper (~**75K** tokens) The Dune series (~**1M** words)

Meta LLaMA-2:4K



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#### The Transformers package (~**10M** tokens)

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#### Mixtral: 32K


## Language models: the new "search engines"

#### Challenge #3: how to fit the context





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(~**75K** tokens)

(~**1M** words)

Meta LLaMA-2:4K



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(~**10M** tokens)

(~**100K** tokens)







**Transformers are costly (both computation and memory)** 



#### **Transformers are costly (both computation and memory)**

Multi-head attention: the representation of every word is a weighted sum of all previous words



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A **1M** token context would cost **164GB** memory! (LLaMA-70B, FP16)





Popular positional encodings are not generalizable



#### Popular positional encodings are not generalizable

The most popular positional encoding method, RoPE (Su et al., 2021), cannot generalize beyond the training length.



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#### High-quality long-context data are hard to find



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Average length of domains from **RedPajama** (a open-source pre-training data collection)

- Wikipedia: 0.5K tokens
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Average length of **instruction-tuning/chat** data: <1K tokens



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#### How can we train a model that can continually generalize to longer length?









• We propose **CEPE**: **Context Expansion with Parallel Encoding** 





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• A lightweight framework that can extend any decoder-only LM's context length





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#### CEPE achieves great performance on both long-context and retrieval-augmented applications

19	





The Dune series

Q: Who betrayed the Atreides?







The Dune series









The Dune series









The Dune series







The Dune series

Q: Who betrayed the Atreides?



The Dune series

**Additional context** 

Q: Who betrayed the Atreides?



The Dune series

**Additional context** 

Q: Who betrayed the Atreides?

#### Main input



The Dune series

#### **Additional context**

- Can be processed by "chunks"
- Order does not matter much

Q: Who betrayed the Atreides?

#### Main input



The Dune series

#### **Additional context**

- Can be processed by "chunks"
- Order does not matter much

Q: Who betrayed the Atreides?

#### **Main input**

- Should be processed with additional context
- Directly related to the generation


Chapter 03: "Yueh! Yueh!" ...

Chapter 01: Dune ...

Chapter 02: Muad'dib ...

Additional context  $\, {\cal C} \,$ 



Main input X



Chapter 01: Dune ...

Chapter 02: Muad'dib ...

Additional context  $\, {\cal C} \,$ 

We use an existing decoder-only model (e.g., LLaMA-7B) to process the main input.



Main input X









Each chunk has at most 256 tokens





Each chunk has at most 256 tokens





Each chunk has at most 256 tokens





All the encoder outputs are concatenated as the representation for the additional context.





We insert cross-attention into every layer of the decoder, which attends to the additional context.

Main input X



Trainable 🤇	
Irainable 🤇	Frozen



We freeze the decoder and only tune the small encoder and the cross-attention modules.



Su et al., 2021. RoFormer: Enhanced Transformer with Rotary Position Embedding. Press et al., 2021. Train Short, Test Long: Attention with Linear Biases Enables Input Length Extrapolation. Chen et al., 2023. Extending Context Window of Large Language Models via Positional Interpolation. Fu et al., 2024. Data Engineering for Scaling Language Models to 128K Context.



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### For the decoder-only model (assume trained on **4K** length):

Dune. Chapter 01: ... Chapter 02: ... Chapter 03: ...

Position: 0 1 2 ...

4094 4095 4096 4097 ...

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#### The model does not generalize well beyond its training length

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### For the decoder-only model (assume trained on **4K** length):

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Position: 012 ...

4094 4095 4096 4097 ...

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RoPE embeddings can generalize infinitely in theory (Su et al., 2021) 

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Dune. Chapter 01: ... Chapter 02: ... Chapter 03: ...

Position: 012 ...

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- RoPE embeddings can generalize infinitely in theory (Su et al., 2021)
- but the model does not perform well on beyond-training lengths (Press et al., 2021)
- There exists positional interpolation methods (Chen et al., 2023)
- but good performance on long context still requires extensive training on the target length (Fu et al., 2024)

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For **CEPE** 



#### For **CEPE**

	Chapter 01: I	Dune	Chapter 02: Muad'dib		Chapter 03: "Yueh! Yueh!"		 Who betrayed the Atreides? A:
Position:	012	254 255	012	254 255	012	254 255	0123



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	Chapter 01:	Chapter 01: Dune Chapter 02: Muad'dib		Chapter 03: "Yueh! Yueh!"		[	Who betrayed the Atreides? A:	
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#### Each encoder chunk/the decoder window has its own positional encodings.



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• Generalize to longer length  $\rightarrow$  generalize to *more chunks* 



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Each encoder chunk/the decoder window has its own positional encodings.

- Generalize to longer length  $\rightarrow$  generalize to *more chunks*
- Trained on 16 chunks, CEPE can generalize to (at least) 128 chunks





### Throughput



### Throughput

• Full attention:  $\mathcal{O}(m^2)$ ,



#tokens

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#tokens

- Full attention:  $\mathcal{O}(m^2)$ ,
- Attention by chunks:  $\mathcal{O}(kc^2), m = kc$



- Throughput#tokens• Full attention:  $\mathcal{O}(m^2)$ , #chunks• Attention by chunks:  $\mathcal{O}(kc^2)$ , m = kc



- Throughput#tokens• Full attention:  $\mathcal{O}(m^2)$ , #chunks length of the chunk• Attention by chunks:  $\mathcal{O}(kc^2)$ , m = kc



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Memory



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#### Memory

• Additional context: *m* tokens. Main input: *n* tokens.



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- Additional context: *m* tokens. Main input: *n* tokens.
- Decoder-only:  $\mathcal{O}((m+n)Ld_{dec})$



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#decoder layers


### **Benefit #2: Efficiency**

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- Decoder-only:  $O((m + n)Ld_{dec})$  Ours:  $O(md_{enc} + nLd_{dec})$  #decoder layers

•  $m \gg n, d_{dec} \gg d_{enc}$ 







Main input X







We only fine-tune the encoder and the cross-attention  $\rightarrow$  can fit on 1 80GB GPU

Main input X







• Fine-tuning a 7B decoder  $\rightarrow$  at least 4 80GB GPUs

Main input X

We only fine-tune the encoder and the cross-attention  $\rightarrow$  can fit on 1 80GB GPU







We only fine-tune the encoder and the cross-attention  $\rightarrow$  can fit on 1 80GB GPU

• Fine-tuning a 7B decoder  $\rightarrow$  at least 4 80GB GPUs We only fine-tune on 8K sequence length  $\rightarrow$  generalize to 128K Main input X





#### We use RedPajama (RP; Together, 2023).



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• **Standard recipe:** concatenate the documents to the target length (RP-concat)



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Domain	Avg #tokens
Arxiv	<b>16K</b>
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Different domains from RP.





#### Encoder



#### Encoder

• A train-from-scratch **435M** bidirectional encoder using masked language modeling



#### Encoder

- Same vocabulary as LLaMA

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### **Training CEPE**

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### **Training CEPE**

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#### Encoder

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### **Training CEPE**

- Decoder input = **4K** tokens

• A train-from-scratch **435M** bidirectional encoder using masked language modeling



#### Encoder

- Same vocabulary as LLaMA

### **Training CEPE**

- Decoder input = **4K** tokens
- Encoder input = **16 x 256** tokens

• A train-from-scratch **435M** bidirectional encoder using masked language modeling



#### Encoder

- Same vocabulary as LLaMA

### **Training CEPE**

- Decoder input = **4K** tokens
- Encoder input = **16 x 256** tokens Warmup

• A train-from-scratch **435M** bidirectional encoder using masked language modeling



#### Encoder

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### **Training CEPE**

- Decoder input = **4K** tokens
- Encoder input = **16 x 256** tokens

#### Warmup

We first train the cross-attention to learn to copy

• A train-from-scratch **435M** bidirectional encoder using masked language modeling



#### Encoder

- Same vocabulary as LLaMA

### **Training CEPE**

- Decoder input = **4K** tokens
- Encoder input = **16 x 256** tokens

#### Warmup

We first train the cross-attention to learn to copy

• We set the encoder and the decoder input to be the same (for 131M tokens)

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#### Encoder

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We first train the cross-attention to learn to copy

• We set the encoder and the decoder input to be the same (for 131M tokens)

• A train-from-scratch **435M** bidirectional encoder using masked language modeling





Instruction-tuning / chat-tuning



### **Instruction-tuning / chat-tuning**

• Most useful models are fine-tuned on *chat-like data* (often proprietary)



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Who is the president of the United States? A: Donald Trump

Q: What are three scientific advancements? A: Airline travel, cars, and space travel

Q: Where were the first humans found? A: East Afrrica

Q: Where did humans emigrate to prior to finding the new world? A: Asia



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Who is the president of the United States? USER

As of my last update in April 2023, Joe Biden is the President of the United States. ASSISTANT





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- Most useful models are fine-tuned on *chat-like data* (often proprietary)
- How to turn a long-context LM to a long-context *chat* LM?
  - Tune a chat LM on long-context data → lose chat abilities
  - Tune a long-context LM on chat data → no proprietary data; no long-context chat data

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Q: What are three scientific advancements? A: Airline travel, cars, and space travel

Q: Where were the first humans found? A: East Afrrica

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**CEPE-Distilled (CEPED)** 

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### **CEPE-Distilled (CEPED)**

• Use only *unsupervised* long-context data, we can turn a chat model to a *long-context* model

34

### **CEPE-Distilled (CEPED)**

- Add an auxiliary distillation loss to maintain the chat model's chat ability

• Use only *unsupervised* long-context data, we can turn a chat model to a *long-context* model

34







1. Run forward passes w/ the original chat model





1. Run forward passes w/ the original chat model





#### Main input

# 1. Run forward passes w/ the2original chat modelt

2. Run forward passes w/

the CEPE model





#### Main input

### 1. Run forward passes w/ the original chat model

2. Run forward passes w/ the CEPE model

3. Train with KL Divergence loss + cross-entropy loss



- Long-context language modeling
- Retrieval-augmented applications
- In-context learning
- Chat model evaluation

# Evaluation

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Positional interpolation













#### Performance

CEPE continues to improve perplexity with more context (only trained on 8K)







#### Performance

CEPE continues to improve perplexity with more context (only trained on 8K)

#### **Memory**

CEPE maintains a low memory usage (1/6 of full attention)



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#### Performance

CEPE continues to improve perplexity with more context (only trained on 8K)

#### Memory

CEPE maintains a low memory usage (1/6 of full attention)

### Throughput

CEPE achieves the highest throughput (10x of full attention)





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**Open-domain question answering** 

45

#### **Open-domain question answering**

Passage k: ... Passage k-1: ... ... Passage 1: ... *Question: Who is the lead actor of "Dune: Part Two"* 

45

#### **Open-domain question answering**



Retrieved from Wikipedia based on the question

(using a dense retriever)

45

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Retrieved from Wikipedia based on the question

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45

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CEPE extrapolates well to more retrieved passages without getting distracted (also more efficient)

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Brown et al., 2020. Language Models are Few-Shot Learners. Rong, 2021. Extrapolating to Unnatural Language Processing with GPT-3's In-context Learning: The Good, the Bad, and the Mysterious.

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### An "emerging" ability of large language models: in-context learning

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Input: 2014-06-01 Output: !06!01!2014! Input: 2007-12-13 in-context Output: !12!13!2007! examples Input: 2010-09-23 Output: !09!23!2010! \_ Input: 2005-07-23 test example Output: **!07!23!2005!** – – model completion

47



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#### Is the *cross-attention* good enough for using in-context examples?

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	k	SST2	MR	AGNews	SST5	TREC	TREC-F	DBPedia	NLU-S	NLU-I	BANKING	CLINIC
LLAMA-2	2	89.1	96.7	72.7	3.9	48.0	16.7	94.0	42.3	22.3	38.4	<b>59</b> .1
+ CEPE	2 + 18 2 + 38	90.7 92.9	<b>98.</b> 4 98.0	71.9 73.2	<b>46.</b> 7 45.5	47.1	22.8 <b>25.1</b>	<b>94.0</b> 93.3	<b>48.9</b> 48.8	30.4 <b>31.6</b>	42.5 <b>46.0</b>	62.4 62.8
$LLAMA-2^{\dagger}$	40	94.3	98.7	74.7	52.3	87.7	54.8	95.1	76.7	62.1	50.4	72.0

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#### #examples in the decoder



REC	TREC-F	DBPedia	NLU-S	NLU-I	BANKING	CLINIC
<b>8.0</b> 7.1 7.5	16.7 22.8 <b>25.1</b>	<b>94.0</b> <b>94.0</b> 93.3	42.3 <b>48.9</b> 48.8	22.3 30.4 <b>31.6</b>	38.4 42.5 <b>46.0</b>	59.1 62.4 <b>62.8</b>
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48



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LLAMA-2	2 2 + 18	89.1 90.7	96.7 <b>98</b> 4	72.7	3.9 16 7	<b>48.0</b>	16.7	94.0 94.0	42.3 <b>48 0</b>	22.3 30.4	38.4	59.1 62.4
+ CEPE	2 + 18 2 + 38	90.7 92.9	<b>98.0</b>	<b>73.2</b>	45.5	47.1	22.8 25.1	93.3	48.8	30.4 <b>31.6</b>	<b>46.0</b>	62.4 62.8
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CEPE can perform in-context learning using demonstrations in the encoder

49

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CEPE can perform in-context learning using demonstrations in the encoder

... though the performance still lags behind putting demonstrations in the decoder

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		<b>Question Answering</b>			Summarization		
	Total tokens	NQA	Qspr	QALT	GvRp	SSFD	QMSum
LLAMA-2-CHAT	2K	17.1	14.6	28.6	16.0	16.4	19.3
+ CEPED	2K + 2K 2K + 30K 2K + All	19.5 21.6 <b>21.9</b>	<b>20.5</b> 19.9 19.9	<b>30.2</b> 29.6 29.6	<b>16.5</b> 15.8 15.9	16.4 16.7 16.7	<b>19.6</b> 19.5 19.5
LLAMA-2-32K INSTRUCT	32K	12.2	18.1	41.6	19.9	10.0	10.3

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 Total tokens

 LLAMA-2-CHAT
 2K

 + CEPED
 2K + 2K

 LLAMA-2-32K INSTRUCT
 32K

Long context (books, government report, papers)

#### A

Quest	ion Ans	wering	Summarization				
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51

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Long context (books, government report, papers) Zero-shot (no training; no in-context examples)

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Zero-shot (no training; no in-context examples)

	Total tokens
LLAMA-2-CHAT	2K
+ CEPED	2K + 2K 2K + 30K 2K + All
LLAMA-2-32K INSTRUCT	32K

Long context (books, government report, papers)

The model needs to understand "instructions"

**Question Answering Summarization** NQA QALT GvRp SSFD QMSum Qspr 28.6 17.1 14.6 16.0 16.4 19.3 19.5 20.5 30.2 16.5 19.6 16.4 29.6 15.8 21.6 19.9 16.7 19.5 21.9 29.6 15.9 16.7 19.5 19.9 12.2 18.1 41.6 10.3 19.9 10.0

51

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#### Tokens in the encoder

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CEPE can utilize the long context and boost the QA/summarization performance



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LLAMA-2-32K INSTRUCT	32K	12.2	18.1	41.6	19.9	10.0	10.3

Compared to a full-attention decoder, CEPE's performance is more stable across different tasks

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• CEPE is a lightweight framework for extending the context window of any decoder-only LMs



- CEPE is length-generalizable, efficient, and easy to train

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- CEPE performs well on both long-context modeling and retrieval-augmented applications



- CEPE is a lightweight framework for extending the context window of any decoder-only LMs • CEPE is length-generalizable, efficient, and easy to train
- CEPE performs well on both long-context modeling and retrieval-augmented applications
- CEPE works well with instruction-tuned/chat models too (with only unlabeled data)





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#### Long-context instruction-tuning

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- Long-context instruction-tuning
- Reduce training cost

57

- Long-context instruction-tuning
- Reduce training cost
- Reduce inference cost

57

- Long-context instruction-tuning
- Reduce training cost
- Reduce inference cost
- Improve retrieval-augmented applications

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More passages encode more information to answer the question.

Gao et al., 2023. Enabling Large Language Models to Generate Text with Citations

# ... Back to ALCE





More passages encode more information to answer the question.

Gao et al., 2023. Enabling Large Language Models to Generate Text with Citations

## ... Back to ALCE

Can LLMs use them effectively?



	Fluency	Correct.	Citation	
	(MAUVE)	(EM Rec.)	Rec.	Prec.
ChatGI	<b>PT-16K</b> (max #	#tokens=16K)	)	
ChatGPT (5-psg)	60.3	36.1	76.2	76.5
ChatGPT (10-psg)	56.3	36.7	75.3	75.0
ChatGPT (20-psg)	56.7	36.1	73.7	73.5
GP	<b>T-4</b> (max #tok	tens=8K)		
GPT-4 (5-psg)	67.1	41.3	68.5	75.6
GPT-4 (10-psg)	71.5	43.1	72.0	75.5
GPT-4 (20-psg)	64.9	44.4	73.0	76.5



	Fluency Correct.		Cita	ation		
	(MAUVE)	(EM Rec.)	Rec.	Prec.		
ChatGPT-16K (max #tokens=16K)						
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• More passages do not lead to better performance



	Fluency Correct.		Cita	ation			
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<b>GP</b> 7	[ <b>-4</b> (max #tok	ens=8K)					
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- More passages do not lead to better performance
- A stronger model utilizes the more information better





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GPT-4 (20-psg)	64.9	44.4	73.0	76.5		
Oracle (20-psg)		>70				

- More passages do not lead to better performance
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- More passages do not lead to better performance
- A stronger model utilizes the more information better
- LLMs are not good at synthesizing information from long context





	Fluency Correct.		Citation		
	(MAUVE)	(EM Rec.)	Rec	Prec	
	Improve multipl	e LLM e piec	s'al	oility of in	y t fo
GPT-4 (10-psg) GPT-4 (20-psg)	71.5 64.9	43.1 44.4	72.0 73.0	75.5 76.5	
Oracle (20-psg)		>70			

#### to retrieve and synthesize ormation from long-context

to bottor porformonco

from long context

Mara paccagas da pati

Gao et al., 2023. Enabling Large Language Models to Generate Text with Citations. Results are reported on the ASQA subset



tter



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